

Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)	Docket Number 435712003521	Application Number 10/079,605
	Applicant  Thomas KEAST et al.	
	Filing Date February 21, 2002	Group Art Unit 3739
	Mailing Date April 23, 2002	

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
AR	1.	08/23/1938	2,127,903	Bowen			
AR	2.	12/18/1973	3,779,234	Eggleton et al.			
AR	3.	03/12/1985	4,503,569	Dotter			
AR	4.	08/13/1995	4,534,761	Raible			
AR	5.	09/03/1985	4,538,618	Rosenberg et al.			
AR	6.	04/15/1986	4,582,067	Silverstein et al.			
AR	7.	04/22/1986	4,583,969	Mortensen			
AR	8.	08/18/1987	4,687,482	Hanson			
AR	9.	06/28/1988	4,753,236	Healey			
AR	10.	07/19/1988	4,757,821	Snyder			
AR	11.	07/19/1988	4,757,822	Di Giulimaria et al.			
AR	12.	09/06/1988	4,769,031	McGough			
AR	13.	09/13/1988	4,770,185	Silverstein et al.			
AR	14.	09/20/1988	4,771,788	Millar			
AR	15.	09/27/1988	4,773,413	Hussein et al.			
AR	16.	11/15/1988	4,785,402	Matsuo et al.			
AR	17.	01/03/1989	4,795,465	Marten			
AR	18.	02/28/1989	4,807,634	Enjoji et al.			
AR	19.	05/30/1989	4,834,102	Schwarzschild et al.			
AR	20.	12/19/1989	4,887,606	Yock et al.			
AR	21.	02/13/1990	4,899,757	Pope, Jr. et al.			
AR	22.	04/17/1990	4,917,097	Proudian, deceased et al.			
AR	23.	09/18/1990	4,957,508	Kaneko et al.			
AR	24.	12/18/1990	4,977,898	Schwarzschild et al.			
AR	25.	03/26/1991	5,002,058	Martinelli			
AR	26.	07/09/1991	5,030,201	Palestrant			

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*W. Montrose*

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AR	27.	10/08/1991	5,054,483	Marten et al.			
AR	28.	10/29/1991	5,061,275	Wallstén et al.			
AR	29.	11/12/1991	5,064,435	Porter			
AR	30.	01/21/1992	5,081,993	Kitney et al.			
AR	31.	04/21/1992	5,105,816	Shimura et al.			
AR	32.	04/21/1992	5,105,817	Uchibori et al.			
AR	33.	07/07/1992	5,127,917	Niederhauser et al.			
AR	34.	09/22/1992	5,148,809	Biegeleisen-Knight et al.			
AR	35.	12/15/1992	5,170,793	Takano et al.			
AR	36.	04/13/1993	5,201,316	Pomeranz et al.			
AR	37.	08/24/1993	5,238,027	Lee			
AR	38.	11/02/1993	5,257,990	Nash			
AR	39.	11/16/1993	5,261,409	Dardel			
AR	40.	01/04/1994	5,275,166	Vaitekunas et al.			
AR	41.	04/05/1994	5,299,578	Rotteveel et al.			
AR	42.	05/10/1994	5,309,915	Ember			
AR	43.	05/24/1994	5,313,950	Ishikawa et al.			
AR	44.	06/14/1994	5,320,106	Tanaka			
AR	45.	07/19/1994	5,330,500	Song			
AR	46.	08/16/1994	5,339,289	Erickson			
AR	47.	09/06/1994	5,344,420	Hilal et al.			
AR	48.	10/04/1994	5,351,693	Taimisto et al.			
AR	49.	11/15/1994	5,363,853	Lieber et al.			
AR	50.	11/29/1994	5,368,035	Hamm et al.			
AR	51.	12/27/1994	5,375,602	Lancee et al.			
AR	52.	01/17/1995	5,381,795	Nordgren et al.			
AR	53.	01/24/1995	5,383,460	Jang et al.			
AR	54.	01/31/1995	5,385,148	Lesh et al.			
AR	55.	04/04/1995	5,402,792	Kimura			
AR	56.	05/09/1995	5,413,601	Keshelava			
AR	57.	06/20/1995	5,425,739	Jessen			

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*Caron Perre*

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AR	58.	06/27/1995	5,427,107	Milo et al.			
AR	59.	07/25/1995	5,435,314	Dias			
AR	60.	10/03/1995	5,454,373	Koger et al.			
AR	61.	10/10/1995	5,456,258	Kondo et al.			
AR	62.	10/17/1995	5,458,120	Lorraine			
AR	63.	11/07/1995	5,464,016	Nicholas et al.			
AR	64.	11/14/1995	5,465,726	Dickinson et al.			
AR	65.	11/14/1995	5,466,242	Mori			
AR	66.	12/12/1995	5,474,075	Goldberg et al.			
AR	67.	01/23/1996	5,485,841	Watkin et al.			
AR	68.	04/09/1996	5,505,088	Chandraratna et al.			
AR	69.	05/28/1996	5,520,684	Imran			
AR	70.	06/18/1996	5,527,324	Krantz et al.			
AR	71.	07/30/1996	5,540,713	Schnepp-Pesch et al.			
AR	72.	08/13/1996	5,545,195	Lennox et al.			
AR	73.	08/13/1996	5,545,210	Hess et al.			
AR	74.	09/17/1996	5,555,886	Weng et al.			
AR	75.	11/05/1996	5,571,180	Blom			
AR	76.	01/28/1997	5,596,989	Morita			
AR	77.	03/04/1997	5,607,444	Lam			
AR	78.	04/01/1997	5,615,679	Ri et al.			
AR	79.	04/08/1997	5,618,301	Hauenstein et al.			
AR	80.	07/15/1997	5,647,871	Levine et al.			
AR	81.	08/05/1997	5,653,746	Schmitt			
AR	82.	08/19/1997	5,658,280	Issa			
AR	83.	09/30/1997	5,672,172	Zupkas			
AR	84.	10/07/1997	5,674,298	Levy et al.			
AR	85.	10/21/1997	5,678,555	O'Connell			
AR	86.	01/06/1998	5,704,361	Seward et al.			
AR	87.	02/03/1998	5,713,949	Jayaraman			
AR	88.	02/10/1998	5,716,393	Lindenberg et al.			

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AR	89.	04/21/1998	5,741,333	Frid			
AR	90.	05/19/1998	5,752,518	McGee et al.			
AR	91.	05/26/1998	5,755,769	Richard et al.			
AR	92.	06/02/1998	5,759,769	Sia et al.			
AR	93.	08/18/1998	5,795,325	Valley et al.			
AR	94.	08/25/1998	5,797,920	Kim			
AR	95.	09/22/1998	5,810,008	Dekel et al.			
AR	96.	09/22/1998	5,810,836	Hussein et al.			
AR	97.	11/03/1998	5,830,222	Makower			
AR	98.	11/24/1998	5,840,431	Kall			
AR	99.	12/08/1998	5,846,205	Curley et al.			
AR	100.	12/15/1998	5,849,037	Frid			
AR	101.	03/02/1999	5,876,345	Eaton et al.			
AR	102.	03/02/1999	5,876,434	Flomenblit et al.			
AR	103.	03/02/1999	5,876,448	Thompson et al.			
AR	104.	07/13/1999	5,922,019	Hankh et al.			
AR	105.	08/17/1999	5,938,697	Killion et al.			
AR	106.	09/14/1999	5,951,567	Javier, Jr. et al.			
AR	107.	09/28/1999	5,957,849	Munro			
AR	108.	10/19/1999	5,967,990	Thierman et al.			
AR	109.	10/19/1999	5,968,053	Revelas			
AR	110.	10/19/1999	5,968,070	Bley et al.			
AR	111.	11/16/1999	5,984,871	TenHoff et al.			
AR	112.	11/30/1999	5,993,484	Shmulewitz			
AR	113.	12/21/1999	6,004,273	Sakamoto et al.			
AR	114.	12/21/1999	6,004,319	Gobel et al.			
AR	115.	12/28/1999	6,007,544	Kim			
AR	116.	12/28/1999	6,007,574	Pulnev et al.			
AR	117.	01/04/2000	6,011,995	Guglielmi et al.			
AR	118.	01/11/2000	6,013,033	Berger et al.			
AR	119.	01/18/2000	6,015,405	Schwartz et al.			

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<b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>				Applicant Thomas KEAST et al.			
				Filing Date February 21, 2002		Group Art Unit 3739	
				Mailing Date April 23, 2002			
AR	120.	02/01/2000	6,019,787	Richard et al.			
AR	121.	02/15/2000	6,024,703	Zanelli et al.			
AR	122.	04/04/2000	6,045,511	Ott et al.			
AR	123.	05/09/2000	6,059,731	Seward et al.			
AR	124.	05/30/2000	6,068,638	Makower			
AR	125.	06/13/2000	6,074,349	Crowley			
AR	126.	06/27/2000	6,080,109	Baker et al.			
AR	127.	08/01/2000	6,096,053	Bates			
AR	128.	08/29/2000	6,112,123	Kelleher et al.			
AR	129.	11/7/2000	6,143,019	Motamedi et al.			
AR	130.	12/12/2000	6,159,225	Makower			
AR	131.	02/06/2001	6,183,444	Glines			
AR	132.	02/20/2001	6,190,353 B1	Makower et al.			
AR	133.	05/15/2001	6,231,587 B1	Makower			
AR	134.	07/10/2001	6,258,100 B1	Alferness et al.			
AR	135.	09/04/2001	6,283,951 B1	Flaherty et al.			
AR	136.	09/04/2001	6,283,983 B1	Makower et al.			
AR	137.	09/11/2001	6,287,290 B1	Perkins et al.			
AR	138.	09/25/2001	6,293,951 B1	Alferness et al.			
AR	139.	10/30/2001	6,309,375	Glines et al.			
AR	140.	12/11/2001	6,328,689 B1	Gonzalez et al.			

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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
AR	141.	01/26/1995	WO 95/02361	WIPO			
AR	142.	12/19/1996	WO 96/39914	WIPO			
AR	143.	11/05/1998	WO 98/48706	WIPO			
AR	144.	12/09/1999	WO 99/60953	WIPO			
AR	145.	11/16/2000	WO 00/67825	WIPO			

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AR	146.	12/07/2000	WO 00/72908	WIPO				
AR	147.	03/01/2001	WO 01/13839	WIPO				
AR	148.	04/26/2001	WO 01/28433	WIPO				
AR	149.	05/10/2001	WO 01/32088	WIPO				
AR	150.	09/27/2001	WO 01/70117	WIPO				
AR	151.	01/03/2002	WO 02/00278	WIPO				
AR	152.	04/18/2000	2000-107178	Japan				Drawn Abstract
	153.	04/17/2001	2001-104315	Japan				Dialog Abstract & Translation (see below)

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	
AR	154.	Paterra™ (Version 1.5) Machine Translation of JP 2000-107178, pp. 1-31
AR	155.	"Emphysema", National Heart, Lung, and Blood Institute. (pp. 1-5) (general information sheets on emphysema).
AR	156.	Panettieri, R.A. (1995). "Chronic Obstructive Pulmonary Disease" Chapter 6 <i>In Lippincott's Pathophysiology Series: Pulmonary Pathophysiology</i> . M.A. Grippi ed., J.B. Lippincott Company, Philadelphia, pp. 93-107.

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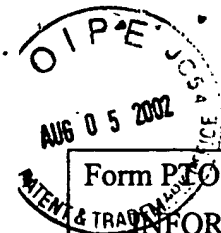
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*Adrian Boone*

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Sheet 1 of 1

Form PTO-1449

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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
AR	1.	03/09/1976	3,942,530	Northeved			
AR	2.	06/23/1987	4,674,498	Stasz			
AR	3.	06/14/1988	4,750,902	Wuchinich et al.			
AR	4.	02/07/1989	4,802,476	Noerenberg et al.			
AR	5.	10/03/1989	4,870,953	DonMicheal et al.			

FOREIGN PATENT DOCUMENTS

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Examiner Initials	Ref. No.	Title

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*Armen Brown*

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## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
A.R.	1.	10/10/2000	6,129,726	Edwards et al.			
A.R.	2.	10/24/2000	6,135,997	Laufer et al.			

## FOREIGN PATENT DOCUMENTS

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## OTHER DOCUMENTS

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## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
AR	1.	07/28/1987	US 4,682,596	Bales et al.			
AR	2.	11/06/1990	US 4,967,753	Haase et al.			
AR	3.	01/19/1999	US 5,860,951	Eggers et al.			
AR	4.	12/21/1999	US 6,003,517	Sheffield et al.			

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AR	5.	05/17/1997	WO 97/17014	WIPO			
AR	6.	05/17/1997	WO 97/17105	WIPO			
AR	7.	04/23/1998	WO 98/16161	WIPO			

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